

67-11-00

# REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60

PATENT NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
09/083,351	CLASS	SUBCLASS	09/083,351	1636

Certificate of Express Mailing Under 37 C.F.R. §1.10  
Express Mailing Label No. EL199621487US  
Date of Deposit: July 10, 2000

I hereby certify that this Continuation Patent Application and fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.19 on the date indicated above and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: July 10, 2000  
Date of Signature  
and of Mail Deposit

E. Coker

Address to: Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a request for filing a (X) divisional application under 37 CFR 1.60, of pending prior application Number 09/083,351 filed on May 22, 1998, entitled Therapeutics and Diagnostics for Congenital Heart Disease Based on a Novel Human Transcription Factor.

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 09/083,351, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIM	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
09/083,351	TOTAL CLAIMS (37 CFR 1.16(c))	14 -20=		x \$18.00=	\$ .00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 -3=		x \$78.00=	\$ .00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$260.00=	\$0.00
				BASIC FEE (37 CFR 1.16(a))	+ \$690.00
	Total of above Calculations =			\$	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$690.00
	TOTAL=				\$690.00

2. ( ) A verified statement to establish small entity status under 37 CFR 1.9 and 1.27

( ) is enclosed.

( ) was filed in prior application number \_\_\_\_\_ and such status is still proper and desired (37 CFR 1.28(a)).

3. (X) The Commissioner is hereby authorized to charge the filing fee in the amount of **\$690.00**, and any deficiencies in fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 06-1448. A duplicate copy of this sheet is enclosed.

4. ( ) A check in the amount of \$ \_\_\_\_\_ is enclosed.

5. ( ) Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

6. (X) The inventor(s) of the invention being claimed in this application is (are): Val C. Sheffield, Wallace L.M. Alward, Edwin M. Stone, Darryl Nishimura and Shiva Patil.
7. ( ) This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
8. ( ) Amend the specification by inserting before the first line the sentence; This application is a divisional of Number \_\_\_\_\_, filed on \_\_\_\_\_, entitled \_\_\_\_\_.
9. ( ) Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in prior application. (May only be used if signed by person authorized by 37 CFR 1.138 and before payment of issue fee.)
10. ( ) New formal drawings are enclosed.
11. ( ) Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_, in \_\_\_\_\_ is claimed under 35 U.S.C 119.
- ( ) The certified copy has been filed in prior application number \_\_\_\_/\_\_\_\_\_, filed \_\_\_\_\_.
12. (X) A preliminary amendment is enclosed.
13. (X) The prior application is assigned of record to The University of Iowa Research Foundation.
14. (X) Also enclosed: Copy of Assignment for prior application No. 09/083,351.
15. (X) The power of attorney in the prior application is to:
- a. (x) The power of attorney appears in the prior application.
- b. ( ) Since the power does not appear in the original paper, a copy of the power in the prior application is enclosed.
- c. (x) Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

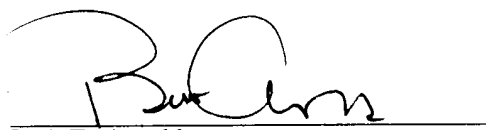
Patent Group

Foley, Hoag & Eliot LLP

One Post Office Square

Boston, Massachusetts 02109-2170

July 10, 2000  
Date

  
Beth E. Arnold  
Registration No. 35,430